

Approved for use through 08/30/2003. OMB 0651-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
 unless it displays a valid OMB control number

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/772,545
		Filing Date	January 30, 2001
		First Named Inventor	Andrew Ahmad
		Group Art Unit	2152
		Examiner Name	Li Zhen
Total Number of Pages in This Submission	210	Attorney Docket Number	IDF 1539 (4000-01900)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> Acknowledgement Postcard Transmittal Letter Copies of cited references
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Michael W. Piper, Reg. No. 39,800
Signature	<i>Michael W. Piper</i>
Date	6/11/2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Name	Karen A. Harris	Date	June 11 2004
Signature	<i>Karen A. Harris</i>		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the completed application form to the USPTO, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and selection option 2

RECEIVED
CENTRAL FAX CENTER

Atty Docket: IDF 1539 (4000-01900)

NOV 02 2004

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew Ahmad, *et al.*

Serial No.: 09/772,545

Filed: January 30, 2001

For: METHOD FOR MIDDLE-TIER OPTIMIZATION
IN CORBA OTS§
§
§
§
§
§
§

Group Art Unit: 2152

Examiner: Li Zhen

Confirmation No.: 9262

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence and PTO Form 1449 with citations attached, is being deposited with the United States Postal Service by First Class Mail, postage prepaid, addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on

June 11, 2004
(Date of Deposit)Karen A. Harris
Karen A. HarrisINFORMATION DISCLOSURE STATEMENT

Commissioner:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. A copy of each patent and publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove

any alleged "prior" nature of any art cited should the facts support and that situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Information Disclosure Statement has been issued, please charge Deposit Account No. 21-0765, Sprint, in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,
CONLEY ROSE, P.C.

Date: 6/11/2004

5700 Granite Parkway, Suite 330
Plano, Texas 75024
(972) 731-2288
(972) 731-2289 Facsimile

Michael W. Piper
Michael W. Piper
Reg. No. 39,800

ATTORNEY FOR APPLICANTS

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT FOR: METHOD FOR MIDDLE-TIER OPTIMIZATION IN CORBA OTS	ATTY. DOCKET NO. IDF 1539 (4000-01900)	SERIAL NO. 09/772,545
	APPLICANTS: Andrew Ahmad, et al.	
	FILING DATE: January 30, 2001	GROUP 2152

U. S. PATENT DOCUMENTS							
Examiner Initial		Patent Number	Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)
	A	8,269,373	07-2001	Apte et al.	707	10	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION									
			Document Number	Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
								Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)				
B	Transparent Fault Tolerance for CORBA, December 1999, University of California			
C	Client/Server Programming with Java and Corba, Second Edition By Robert Orfai, Dan Harkey; ISBN 0-471-24578-X			
D	U.S. Patent Application No. 09/772,548 filed January 30, 2001 entitled <i>Method for Deployment Modification of Transactional Behavior in CORBA OTS</i> , inventor(s): Andrew Ahmad, et al.; Seven sheets of drawings; Atty Dkt. No. IDF 1538 (4000-01900)			
Examiner _____ Date Considered _____				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				